

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Terrence C. Leslie

Title: SELECTIVE EPITAXY VERTICAL INTEGRATED CIRCUIT COMPONENTS AND METHODS

Docket No.: 303.860US1

Filed: January 27, 2004

Examiner: Unknown



Serial No.: 10/765301

Due Date: N/A

Group Art Unit: Unknown

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

☒ A return postcard.

☒ An Information Disclosure Statement (2 pgs.) and Form 1449 (1 pg.) citing 18 documents. *and copy of 1 cited ref.*

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

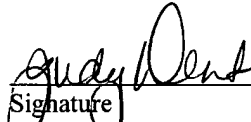
By: 

Atty: Timothy B Clise

Reg. No. 40,957

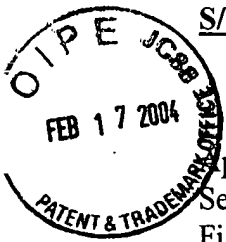
CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 17th day of February, 2004.

  
Name

  
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)



S/N 10/765301

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Terrence C. Leslie	Examiner:	Unknown
Serial No.:	10/765301	Group Art Unit:	Unknown
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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

INFORMATION DISCLOSURE STATEMENT

Serial No :10/765301

Filing Date: January 27, 2004

Title: SELECTIVE EPITAXY VERTICAL INTEGRATED CIRCUIT COMPONENTS AND METHODS

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The present application is either a U.S. national patent application filed after June 30, 2003 or an international application that entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

TIMOTHY B CLISE

By his Representatives,

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Date

11 Feb '04

By

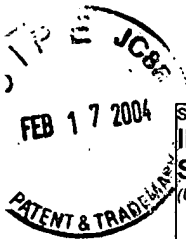
Timothy B Clise

Reg. No. 40,957

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Judy Dent  
Name

Judy Dent  
Signature



Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/765301
Filing Date	January 27, 2004
First Named Inventor	Leslie, Terrence
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 303.860US1

## US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2002/0171107A1	11/21/2002	Cheng et al.			05/21/2001
	US-2003/0042531A1	03/06/2003	Lee et al.			09/04/2002
	US-5,321,285	06/14/1994	Lee et al.			08/10/1992
	US-5,637,518	06/10/1997	Prall, K. et al.			10/16/1995
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	US-5,998,844	12/07/1999	Prall et al.			09/14/1998
	US-6,057,200	05/02/2000	Prall et al.			04/01/1997
	US-6,127,242	10/03/2000	Batra et al.			10/24/1994
	US-6,159,852	12/12/2000	Nuttall et al.			02/13/1998
	US-6,251,693	06/26/2001	Nuttall et al.			07/30/1998
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	US-6,417,015	07/09/2002	Nuttall et al.			05/29/2001
	US-6,458,699	10/01/2002	Nuttal et al.			04/24/2001
	US-6,509,239	01/21/2003	Nuttal et al.			10/28/1999

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		GEPPERT, LINDA , "The Amazing Vanishing Transistor Act", IEEE Spectrum, (10/2002), 7 pages.	

EXAMINER

DATE CONSIDERED